

Adviser Services Account Opening Documentation

Quick Reference Guide

With the recent changes and uplift to our Know Your Client (KYC) regulatory requirements we now need to collect more information from your clients. Our updated Account Opening Form is designed to help you with this.

Downloading the Form

As our Account Opening Form is updated regularly we recommend you visit the below web address to ensure that you are working from the latest version:

www.morganstanley.com/resources > Documents for Advisers and other Intermediaries > Account Opening Form

Please note that this dynamic form is required to be downloaded and opened within Adobe Acrobat as it will not work directly within a web browser.

How to Complete the Form

The first page is for Planner Use Only - this is where we obtain YOUR information and dictates where the accounts are coded on our system. It is important that the details provided here match back to those of which we already have on file for you

PLANNER USE ONLY

A N ADVISER

PLANNER NAME

123 OFFICE ROAD

PLANNER STREET ADDRESS (CANNOT BE A PO BOX)

SYDNEY

SUBURB

NSW

STATE

2000

POST CODE

AUSTRALIA

COUNTRY

SAMPLE WEALTH PLANNING GROUP

DEALER GROUP NAME

MS000

MORGAN STANLEY PLANNER CODE

02 1234 5678

PHONE NUMBER

A@SAMPLEWEALTH.COM.AU

EMAIL ADDRESS

123456

AFSL

0000000

AUTHORISED REPRESENTATIVE NUMBER

Choose the Account Structure

Here you will select from an Individual or Joint Application, or a Trust, Company or Other Entity Application. The fields required for completion are dependent on the choice you make here:

Adviser Services

Account Opening Documentation

Application Form

TRUST (INCLUDING CORPORATE TRUSTEE), COMPANY OR OTHER ENTITY APPLICATIONS

INDIVIDUAL OR JOINT APPLICATION

Although you can switch between the two account types at this initial stage, it is important to note that if you are required to change your account type *once you have already started pre-completing the document*, that you close it down and re-open a fresh copy for the new account type.

This guide will show you an example of a Corporate Trustee for a Superannuation Fund.

Section 1 - Applicant

Enter the account details and choose the Account Type from the dropdown selection:

Account Opening Documentation

Application Form (Trust / Company / Other)

Section 1 - Applicant

Sample Pty Ltd ATF Sample Superannuation Fund

ACCOUNT FULL LEGAL NAME

1236 Home Street

POSTAL ADDRESS FOR ACCOUNT (THIS ADDRESS WILLBE USED FOR ALL FUTURE CORRESPONDENCE FROM MORGAN STANLEY)

SYDNEY

NSW

2000

AUSTRALIA

SUBURB

STATE

POSTCODE

COUNTRY

Select


Select

Superannuation Fund, Trust or Estate - Individual Trustee/s or Executor/s


Superannuation Fund, Trust or Estate - Corporate Trustee or Executor

Company, Co-op, Association and Body

Enter the amount of individuals who will be signing this application and hit the “Click to Continue” button when it turns green. Clicking this button will generate the appropriate fields for the applicant/s in Section 3:

How many individuals will be SIGNING this application?

2

CORP TRUSTEE - CLICK TO CONTINUE

Section 2 & 3 – Trust & Trustee Details

Complete all appropriate fields:

Section 2 - Superannuation Fund, Trust or Estate

Please select from the followingCharitableFamily☒ SMSFTestamentary TrustEstateForeignOther

Sample Superannuation Fund

FULL NAME OF TRUST (EG SMITH SUPERANNUATION FUND)

Australia14/04/1976123 457 789

COUNTRY ESTABLISHEDDATE ESTABLISHED (DD/MM/YY)ABN(OR) FOREIGN EQUIVALENT

1236 Home Street

PRINCIPAL BUSINESS ADDRESS

SYDNEYNSW2000AUSTRALIA

SUBURBSTATEPOSTCODECOUNTRY

This Date of Incorporation should match the registration date with ASIC.

Check this box to replicate address details that were entered in Section 1

CHECK IF SAME AS POSTAL ADDRESS IN SECTION 1☒

Complete all appropriate fields:

Section 3 - Company (including Corporate Trustee), Co-op, Association and Body

Please select from the followingPublic Company☒ Private CompanyIncorporated AssociationRegistered Co-opUnincorporated Association or BodyAustralian Government BodyForeign Government Body

Sample Pty Ltd

FULL LEGAL NAME

Australia

COUNTRY OF INCORPORATION

21/3/1976Australia123 123 1234

DATE OF INCORPORATION (DD/MM/YY)COUNTRY OF REGISTRATIONBUSINESS REGISTRATION NUMBER (ACN/ABN/ARBN)(OR) FOREIGN EQUIVALENT

Australia

COUNTRY OF ISSUE

NAME OF REGULATOR (IF BUSINESS RELATED E.G CHARITY)

1236 Home Street

REGISTERED OFFICE ADDRESS

SYDNEYNSW2000AUSTRALIA

SUBURBSTATEPOSTCODECOUNTRY

1236 Home Street

PRINCIPAL PLACE OF BUSINESS ADDRESS (IF FOREIGN ASIC-REGISTERED COMPANY, AGENT NAME & ADDRESS IN AUSTRALIA)

SYDNEYNSW2000AUSTRALIA

SUBURBSTATEPOSTCODECOUNTRY

The Activity of the business – e.g Corporate Trustee, Personal Investment Vehicle

Corporate Trustee

BUSINESS ACTIVITY

CHECK IF SAME AS POSTAL ADDRESS IN SECTION 1☒

CHECK IF SAME AS POSTAL ADDRESS IN SECTION 1☒

Is the entity an Operating Entity?
(i.e. has actual business operations rather than the passive role of holding investment or acting as trustee)

UnsureYes☒No

Does the entity operate under a name different to the Full Legal Name noted above?

UnsureYes☒No

Can the company issue bearer share certificates or warrants?

UnsureYes☒No

Does the company follow ASIC Replaceable Rules?

Unsure☒YesNo

Answers to these questions are required for AML purposes. Should you have any questions, please consult your Financial Adviser

Section 4 – Personal Information

Depending on how many applicants you entered back in Section 1, you will see a corresponding amount of fields for each person in this section - please fully complete the details for ALL individuals. Note that if FIRST and LAST name fields are left blank, it will not generate signature boxes at the completion of the form. Ensure they are entered.

Section 4 - Director / Officeholder / Account Signatory Details

Beneficial Owner / Director / Officeholder / Account Signatory Details 1

Role (check all that apply)

☒ Asset Contributor

☒ Signatory / Operate Account

☒ Director

☐ Officeholder

☐ Trustee

☒ Beneficiary

☐ Appointer

☐ Protector

☐ C-Level Executive

☒ Beneficial Owner

☐ Executor

☒ Make this individual the default contact (only one individual can be nominated as the default contact)

Ensure that you check the CORRECT roles and ALL that apply to this individual

Mr

John James

Smith

TITLE

GIVEN NAME/S (DO NOT USE INITIALS)

SURNAME

1236 Home Street

CHECK IF SAME AS POSTAL ADDRESS IN SECTION 1

☒

1236 Home Street

CURRENT RESIDENTIAL ADDRESS (CANNOT BE A PO BOX)

SYDNEY

NSW

2000

AUSTRALIA

SUBURB

STATE

POSTCODE

COUNTRY

Drivers Licence

DL1234

Australia

13/10/2024

GOVERNMENT ISSUED IDENTITY DOCUMENT TYPE

DOCUMENT NUMBER

COUNTRY OF ISSUE

DATE OF EXPIRY (DD/MM/YY)

21/2/1962

Australia

Australia

DATE OF BIRTH (DD/MM/YY)

COUNTRY/IES OF CITIZENSHIP

COUNTRY OF BIRTH

This information MUST match the ID provided

If you are unsure of a response to a question, and where given the option, you can check the “UNSURE” box. Doing this will open up all fields for so the client is free to complete themselves. When the document is printed, the “UNSURE” box will NOT display on the printed document, leaving only the “YES” or “NO” option for the client.

ARE YOU RETIRED?

☒ UNSURE

☐ YES

☐ NO

YEAR LIKELY TO RETIRE

OCCUPATION (P= NOTE FORMER OCCUPATION IF RETIRED)

NAME OF EMPLOYER (P= NOTE FORMER NAME IF RETIRED)

TITLE / POSITION (P= NOTE FORMER TITLE / POSITION IF RETIRED)

INDUSTRY (P= NOTE FORMER INDUSTRY IF RETIRED)

ARE YOU RETIRED?

☐ UNSURE

☒ YES

☐ NO

NAME OF FORMER EMPLOYER

FORMER OCCUPATION

TITLE / POSITION

INDUSTRY

ARE YOU RETIRED?

☐ UNSURE

☐ YES

☒ NO

YEAR LIKELY TO RETIRE

NAME OF CURRENT EMPLOYER

CURRENT OCCUPATION

TITLE / POSITION

INDUSTRY

The email address is mandatory here for the purposes of contract note delivery:

CONTACT DETAILS (REQUIRED FOR INDIVIDUALS WHO WILL OPERATE THE ACCOUNT)		
<input type="text"/>	<input type="text"/>	<input type="text" value="0402 522 522"/>
WORK PHONE	HOME PHONE	MOBILE PHONE
<input type="text" value="jjsmith@email.com"/>		
EMAIL ADDRESS		

If there are any additional Individual or Legal Entity beneficiaries, they can be entered here (again, the "UNSURE" option will open up all fields if selected):

Individual Trust Beneficiaries		
Do you wish to name any INDIVIDUAL Trust Beneficiaries?		
Unsure <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>		
INDIVIDUAL NAMED TRUST BENEFICIARY 1		
<input type="text" value="John James Junior"/>	<input type="text" value="Smith"/>	<input type="text" value="13/10/2012"/>
GIVEN NAME/S (DO NOT USE INITIALS)	SURNAME	DATE OF BIRTH (DD/MM/YY)
<input type="text" value="Australia"/>	<input type="text" value="Australia"/>	<input type="text" value="Australia"/>
COUNTRY OF RESIDENCE	COUNTRY/IES OF CITIZENSHIP	COUNTRY OF BIRTH
<div>Where you see the ADD / REMOVE icons, you are able to generate additional fields for multiple entries. These buttons will NOT be visible on the printed document</div>		
ADD REMOVE		
Legal Entity Trust Beneficiaries		
Do you wish to name any LEGAL ENTITY Trust Beneficiaries?		
Unsure <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/>		
LEGAL ENTITY NAMED TRUST BENEFICIARY 1		
<input type="text"/>		
FULL LEGAL NAME		
<input type="text"/>	<input type="text"/>	
COUNTRY OF FORMATION	DATE OF FORMATION (DD/MM/YY)	
<input type="text"/>		CHECK IF SAME AS POSTAL ADDRESS IN SECTION 1 <input type="checkbox"/>
PRINCIPLE BUSINESS ADDRESS		
<input type="text"/>	<input type="text"/>	<input type="text"/>
SUBURB	STATE	POSTCODE
<input type="text"/>		
COUNTRY		
ADD REMOVE		

Beneficial Owners	
Is Director 1 a Beneficial Owner who ultimately controls or owns (directly or indirectly) 25% or greater of the entity?	Unsure <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/>
Is Director 2 a Beneficial Owner who ultimately controls or owns (directly or indirectly) 25% or greater of the entity?	Unsure <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/>
Is the issued capital held for the underlying beneficial owner by a nominee shareholder?	Unsure <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/>
Will there be ADDITIONAL Beneficial Owners/Directors/Key Controllers/Asset Contributors named on the account?	Unsure <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

Any additional Beneficial Owners (down to 35% of the issued capital) who will NOT be operating the account can be named here by choosing "YES" and the required fields for completion will appear

Section 5 & 6 – Corporate Affiliations and Tax File Number

Checking “NO” for any question within Section 5 will remove the fields for that particular question as they will then be deemed not applicable. The “Unsure” option applies here also, and the icons will allow you to add or remove as needed:

Section 5 - Corporate Relationships / Affiliations

Affiliated Person/s

Is any individual named in this Adviser Services Account Opening Documentation Application Form an Affiliate/ Insider of a listed company or a related company?

Unsure

☒ Yes

☐ No

GIVEN NAME/S (DO NOT USE INITIALS)

SURNAME

ORGANISATION

ROLE

ASX CODE

Is any individual named in this Adviser Services Account Opening Documentation Application Form an employee of Morgan Stanley or a spouse or dependent of an employee?

Unsure

☐ Yes

☒ No

Is any individual named in this Adviser Services Account Opening Documentation Application Form an employee of a Financial Services Firm/Broker Dealer?

Unsure

☐ Yes

☒ No

Politically Exposed Person/s

Is any individual affiliated with this account; either themselves, or an immediate family member, or a close associate of a person entrusted with a prominent public role or function (e.g. a senior official in the executive, legislative, military, administrative or judicial branches of government, a senior executive of a government enterprise/agency, or a member of a ruling royal family)?

Unsure

☐ Yes

☐ No

GIVEN NAME/S (DO NOT USE INITIALS)

SURNAME

POLITICAL / PUBLIC ROLE

Complete Tax File Number or Exemption and ABN if applicable:

TFN and Other Tax Details

TFN OF ENTITY

(OR) REASON FOR TFN EXEMPTION

ABN (IF ACCOUNT HOLDER IS A COMPANY/ENTITY INVESTING WITH MORGAN STANLEY FOR BUSINESS PURPOSES)

Section 7 – Banking

Banking details, in the same name as the Entity, are **mandatory** unless you plan to settle DvP*. You are able to nominate an alternative account for Credits, however it again must be in the same name as the Entity:

Details of Account to be Debited

Sample Pty Ltd ATF Sample Superannuation Fund

ACCOUNT FULL LEGAL NAME

ANZ Bank

FINANCIAL INSTITUTION

Sydney

BRANCH

111-111

BRANCH NUMBER (BSB)

222-222

ACCOUNT NUMBER

If you wish to utilise the above account for both direct debit AND credit, please choose "Yes"

Unsure

☒ Yes

☐ No

If you wish to nominate an alternative account for credits, please choose "No" and outline those details below

Section 8 - Sponsorship

The following options are available for Sponsorship:

☐ **No CHESS Sponsorship Required**

☐ **Establish a new CHESS Sponsorship agreement with Morgan Stanley Wealth Management**
Check this box if you are applying for a newly established CHESS sponsorship with Morgan Stanley Wealth Management

☐ **Establish a new CHESS Sponsorship agreement with Morgan Stanley Wealth Management and transfer your Issuer sponsorship holdings**
Check this box if you are applying for a newly established CHESS sponsorship with Morgan Stanley Wealth Management, and you would like to transfer your Issuer sponsorship holdings to register them as sponsored holdings.
Note:

- You will need to provide a copy of your current holding statement/completed transmission form containing details of the securities you wish to transfer.
- Security transfers will only be accepted if the securities are registered in the same name as the person(s)/legal entity named as the applicant in this Adviser Services Account Opening Documentation Application Form.

☐ **Existing Morgan Stanley account holders only - Change of Trustee**
Check this box if this Adviser Services Account Opening Documentation Application Form is required due to a change of trustee associated with an existing Morgan Stanley account and the person(s)/legal entity acting as the new trustee agrees to be sponsored by Morgan Stanley Wealth Management. You agree for all existing sponsored holdings to be transferred to the newly established HIN.
Note:

- The person(s)/legal entity acting as the new trustee will enter a new Sponsorship Agreement with Morgan Stanley Wealth Management.
- Any prior sponsorship arrangement between us and the outgoing trustee (previous account holder) will be terminated on completion of the transfer of all sponsored holdings in the previous account and cancellation of the HIN. On transfer, all holdings will be sponsored in accordance with the new CHESS Sponsorship Agreement.

☐ **Transfer your CHESS sponsorship from another Broker**
Check this box if you would like Morgan Stanley Wealth Management to transfer your HIN (and all existing broker sponsored holdings) from another broker.
Note:

- You will need to provide a copy of your current holding statement (or your current account statement/completed transmission form) for the HIN containing securities you wish to transfer.
- Security transfers will only be accepted if the securities are registered in the same name as the person(s)/legal entity named as the applicant in this Adviser Services Account Opening Documentation Application Form.
- You will not be able to trade until we receive your HIN from the other broker.
- You should ensure you have no open orders or unsettled trades for the holdings you wish to transfer.

☐ **Settle with External Provider (DvP)**

Choosing to establish a new CHESS Sponsorship agreement will require you to confirm the exact registration details for the HIN. Initially, the information you have entered in Section 1 will be displayed.

☒ **Establish a new CHESS Sponsorship agreement with Morgan Stanley Wealth Management**
Check this box if you are applying for a newly established CHESS sponsorship with Morgan Stanley Wealth Management

CHESS REGISTRATION AND SHARE REGISTRY DETAILS
You are appointing Morgan Stanley Wealth Management as your CHESS sponsoring broker.
Would you like to use the details provided in Section 1 as your CHESS Registration?

☐ Unsure ☐ Yes ☐ No

Sample Pty Ltd ATF Sample Superannuation Fund
CHESS REGISTRATION NAME

1236 Home Street
CHESS REGISTRATION ADDRESS

SYDNEY
SUBURB

NSW
STATE

2000
POSTCODE

AUSTRALIA
COUNTRY

If you would like to make any minor changes to this registration, please choose “NO” and update:

☒ **Establish a new CHESS Sponsorship agreement with Morgan Stanley Wealth Management**
Check this box if you are applying for a newly established CHESS sponsorship with Morgan Stanley Wealth Management

CHESS REGISTRATION AND SHARE REGISTRY DETAILS
You are appointing Morgan Stanley Wealth Management as your CHESS sponsoring broker.
Would you like to use the details provided in Section 1 as your CHESS Registration?

☐ Unsure ☐ Yes ☒ No

PLEASE ENTER CHESS REGISTRATION NAME

PLEASE ENTER CHESS REGISTRATION ADDRESS

PLEASE ENTER SUBURB

PLEASE ENTER STATE

PLEASE ENTER POSTCODE


PLEASE ENTER COUNTRY

*If your account will be settling DvP with an external provider, you will see the following alert and you can then enter the provider details:

Note:

- You will need to provide a form for the HIN containing the following information:
- Security transfers will only be processed if the applicant in this Advice is the same as the applicant in the HIN.
- You will not be able to trade securities until the HIN is received.
- You should ensure you have completed the HIN before submitting this Advice.

Warning: JavaScript Window - No Banking Required

 Section 7 (Direct Debit & Credit) has now been removed.

OK

☒ **Settle with External Provider (DvP)**

Sample Wrap Services	123456	123456
NAME OF PLATFORM / PROVIDER	PARTICIPANT ID (PID)	HIN / EXTERNAL PARTY REFERENCE / ACCOUNT NUMBER

Section 7 (Banking) will now be stripped of the banking fields and the WRAP provider will be detailed in its place:

Section 7 - Direct Debit and Credit Request

Sample Wrap Services External Platform selected for settlement in Section 8 - Direct Debit & Credit not required

If you choose to receive electronic receipt of company announcements, any email addresses entered for applicants will be selectable from the dropdown:

ELECTRONIC RECEIPT OF COMPANY ANNOUNCEMENTS
☒ Please check the box if you wish Morgan Stanley Wealth Management to lodge an applicant’s email address with the share registries for the electronic receipt of company announcements in respect of your current and future share holdings sponsored by Morgan Stanley Wealth Management.
Choose from holder email addresses:

ljsmith@email.com

☐

DIRECT CREDIT OF DIVIDEND AND INTEREST PAYMENTS
Please check the box if you wish to authorise Morgan Stanley Wealth Management to lodge your Direct Credit bank account details completed in section 8 of this Account Opening Documentation Application Form with share registries for the payment of dividends, interest and other payments in respect of your existing and future share holdings sponsored by Morgan Stanley Wealth Management.

Section 9 – Levels of Advice

Select the appropriate levels and advice required on the account – *if you only require execution only the Account Profile will be removed – if you required personal advice the profile is required to be completed*

Section 9 - Levels of Advice

Reason(s) for opening account

☒ To facilitate investment transactions on an execution only basis and receive general advice such as research reports.

By ticking this box you have elected not to provide information about your current financial situation and investment objectives and you understand that Morgan Stanley Wealth Management is unable to provide you with personal advice. You will only receive general or factual information that is provided as general advice, which is prepared without taking into account your objectives, financial situation or needs. You will need to separately consider the appropriateness of the advice, having regard to your personal circumstances, before acting on the information given to you.

☐ To facilitate investment transactions and receive personal advice.

You will need to complete the Account Profile below so that Morgan Stanley Stanley Wealth Management will be able to give you personal financial product recommendations after taking into account your investment objectives, financial situation and particular needs. If you do not provide this information, any advice provided to you will be general advice.
You need to update us if there are any material changes to the details provided. If information becomes incomplete or inaccurate at any time, then any personal advice given to you may be based on incomplete information and you will separately need to consider the appropriateness of the advice before acting on the advice.

Section 10 – Financial Information

This section is mandatory and is related to the entity that you are opening the account for.

Section 10 - Financial Information

Please provide the following financial information, which will be relied upon by us in our decision to open your account and in rendering services to you. Amounts should be inclusive of assets held outside of Morgan Stanley.

WHAT IS YOUR PRIMARY SOURCE OF INCOME?

☒ Business Earnings ☐ Salary ☐ Investments ☐ Retirement Assets/Pension ☐ Other

ANNUAL INCOME (BEFORE TAX)

☐ \$0 - \$50,000 ☐ \$50,000 - \$100,000 ☒ \$100,000 - \$200,000 ☐ \$200,000 - \$500,000 ☐ \$500,000 - \$1,000,000 ☐ > \$1,000,000

	Asset Value	Borrowings against Asset
Superannuation Investments	\$1,500,000.00	
Investments outside superannuation	\$2,300,000.00	
Other assets (including primary residence)	\$350,000.00	
Estimated amount of funds to be invested at Morgan Stanley	\$1,500,000.00	

Do you use or intend to use borrowings to fund future investments in this account?

No

Section 11 – Source of Wealth

This section is mandatory and should disclose the origin of wealth provided in section 10. The field is fillable and will expand if more information is provided.

Section 11 - Source of Wealth

Please describe the source of wealth for the account. The source of wealth should disclose the origin of wealth as provided above.
Provide as much detail as possible such as: details and types of businesses or past businesses and sale proceeds, investments, inheritance details, trust distributions, salary and wages, employment or previous employment – include names, dates and amounts.

Section 12 – Sharemarket Trade Form

If there is a trade required immediately after the account is open and current – provide all trade details here. Use the ADD and REMOVE icons to add more rows or remove any existing rows no longer needed:

Section 12 - Sharemarket Trade Form							
Is there a sharemarket trade required?							<input type="checkbox"/> Unsure <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Buy or Sell	<input type="checkbox"/> Trade in DOLLARS <input checked="" type="checkbox"/> Trade in UNITS	Security Name	ASX Code	HIN / SRN	At Market	If not at Market, Price Limit	
<input checked="" type="checkbox"/> BUY	150	BHP Limited	BHP.AX	i012456789	<input checked="" type="checkbox"/> YES		
<input type="checkbox"/> SELL					<input type="checkbox"/> NO		
Buy or Sell	<input checked="" type="checkbox"/> Trade in DOLLARS <input type="checkbox"/> Trade in UNITS	Security Name	ASX Code	HIN / SRN	At Market	If not at Market, Price Limit	
<input type="checkbox"/> BUY	25,000	Telstra Limited	TLS.AX	i012456789	<input checked="" type="checkbox"/> YES		
<input checked="" type="checkbox"/> SELL					<input type="checkbox"/> NO		

Section 13 – Authorisation to Planner(s)

This section mandatory as it allows the client to nominate you as an authorised order placer on the account

Section 13 - Authorisation to Planner(s)
<p>The authorisation set out in this section allows Morgan Stanley Wealth Management to accept instructions from your Planner(s) in connection with your account, including in order to execute instructions to place an order, enter into or arrange a transaction, or allocate a market transaction in relation to your account.</p> <p>Authorisation</p> <p>By signing this Application Form, each person(s)/legal entity named as the applicant ("you") in this Adviser Services Adviser Services Account Opening Documentation Application Form expressly authorises the Planner to:</p> <ul style="list-style-type: none"> (a) act as your agent or representative in connection with your account, including to submit the completed and signed Adviser Services Account Opening Documentation to us or other information we require from you from time to time. (b) submit your instructions in connection with your account to us, including amongst other things, to place an order on a market operated by the ASX, Chi-X or any other market operator as required by the order, enter into or arrange a transaction, or allocate a market transaction. <p>You further agree that:</p> <ul style="list-style-type: none"> (c) Morgan Stanley Wealth Management may rely on any document or communication which we reasonably believe to be an instruction provided in accordance with this authorisation without further enquiry. (d) this authorisation will remain in place until you notify Morgan Stanley Wealth Management otherwise in writing and Morgan Stanley Wealth Management confirms receipt of such notice. <p><input checked="" type="checkbox"/> Tick the box to accept the authorisation set out in this Section 13.</p>

Section 14 & 15 – Declarations, Terms & Conditions

The client should read and agree to these.

Section 16 – Signatures

Client names will be visible within the signature boxes. The client/s are required to sign, date and check the appropriate capacity boxes

Section 16 - Signatures

Signing instructions

COMPANY

Execute in accordance with section 127 of the Corporations Act 2001 (Cth). A director must sign jointly with either another director or the company secretary. For proprietary companies that have a sole director who is also the sole company secretary, that director should sign.

TRUST

Trustee(s) (individual and corporate) signing on behalf of the trust confirm the trustee(s) is/are acting in accordance with requisite powers and authority under the trust deed.

OTHER ENTITIES

Two authorised signatories must sign, unless a signatory has express authority by deed to sign on behalf of other signatories.

<div>SIGNATURE</div> <div>John James Smith</div> <div>NAME</div> <div>DATE</div> <div><input type="checkbox"/> Trustee <input type="checkbox"/> Sole Director / Secretary</div> <div><input checked="" type="checkbox"/> Director <input type="checkbox"/> Authorised Signatory</div> <div><input type="checkbox"/> Executor</div>	<div>SIGNATURE</div> <div>Jane Judy Smith</div> <div>NAME</div> <div>DATE</div> <div><input type="checkbox"/> Trustee <input type="checkbox"/> Sole Director / Secretary</div> <div><input checked="" type="checkbox"/> Director <input type="checkbox"/> Authorised Signatory</div> <div><input type="checkbox"/> Executor</div>
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You (the Planner) are required to sign the grey area agreeing to the terms provided.

PLANNER USE ONLY

Planner Declarations

The Planner, whose details appear on page 1 of this Adviser Services Account Opening Application Form, by signing below declares and accepts the following:

- the Planner is a representative of the Dealer Group as stated on page 1 of this form.
- the Planner is expressly authorised and appointed by the person(s)/legal entity named as the applicant in this form as agent to give information (including by submitting this Adviser Services Account Opening Documentation Application Form) and instructions to Morgan Stanley Wealth Management, and must verify the accuracy and authenticity of all instructions before submitting them to Morgan Stanley Wealth Management.
- the Planner will inform Morgan Stanley Wealth Management if it is aware, or becomes aware of, any information provided in respect of the account becoming inaccurate or incomplete.

Planner Signature

<div>SIGNATURE</div> <div>A N ADVISER</div> <div>NAME</div>	<div>DATE</div>
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